

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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ESTATE OF JOSEPH ROMAIN,

Plaintiff,

v.

G. KENNEDY THOMPSON, RUTH G. SHAW, PETER  
C. BROWNING, ROBERT A. INGRAM, MACKEY J.  
MCDONALD, TIMOTHY D. PROCTOR, JOHN D.  
BAKER II, JOHN T. CASTEEN III, JEROME A. GITT,  
WILLIAM H. GOODWIN JR., MARYELLEN C.  
HERRINGER, DONALD M. JAMES, JOSEPH  
NEUBAUER, ERNEST S. RADY, VAN L. RICHEY,  
LANTY L. SMITH and DONA DAVIS YOUNG,

Defendants,

- and -

WACHOVIA CORPORATION, a North Carolina  
Corporation,

Nominal Defendant.  
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08-CV-6981

**CERTIFICATE OF SERVICE**

I certify under penalty of perjury pursuant to 28 U.S.C. § 1746 that on August 12, 2008 I caused to be served upon the following, by first class United States mail, postage prepaid, a true copy of the Notice of Appearance of Douglas H. Flaum:

Howard G. Smith  
Law Offices of Howard G. Smith  
3070 Bristol Pike, Suite 112  
Bensalem, PA 19020

Attorney for Plaintiff  
*Estate of Joseph Romain*

Dated: New York, New York  
August 12, 2008

  
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Daniel S. Kotler